

Best Practices Guide for chairpersons and members of sanctions committees

Consultations with sanctions-affected states
(states to which sanctions have been applied or those that by
geographic or trade flows are strongly affected)



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Introduction

The briefing paper summarizes recommendations to some of the 24-25 thematic sections that are part of the work of chairpersons and members of sanctions committees

These recommendations were generated with:

- Note by the President of the Security Council, S/2017/507
- Compendium of the High Level Review of UN Sanctions
- Assessment of the HLR report



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Issues directly pertaining to sanctions-affected states

Outreach

Sanctions measures and implementation

Sanctions and Human Rights

Sanctions and Mediation

Designations, due process

Expert groups

Ombudsperson

Integrating sanctions with other UN instruments

Capacity enhancements and assistance



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Issues for informational purposes

- Handover and preparation
- Induction and training
- Committee meetings
- Penholders
- Decisions and voting
- Objections to decisions by the committee
- Implementation of committee decisions – Draft documents
- Presentation of reports to the Security Council
- Committee documentation - Types of committee documents
- Preparation of documents
- Processing documentation
- Joint meetings
- Private Sector
- Standardizing sanctions terms
- Secretariat



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Overview of the anticipated content of the guide

Section one: key roles and functions of the primary sanctions actors

Section two: standing practices through which chairpersons and members of sanctions committees operate within this structure.

Section three: sanctions and sanctions types currently in effect, how they work, and what compliance obligation standards apply.

Section four: increased committee engagement to enhance effective sanctions implementation.

Annex offers a glossary of frequently used terms in sanctions and their definitions



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Question 1

How could the UN sanctions system be made more effective, clear, and transparent?



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Question 2

Are there specific actions by sanctions committee chairs and members that could assist your sanctions implementation efforts?



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Question 3

Are there specific actions by panels of experts and coordinators that could assist your sanctions implementation efforts?



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Question 4

How could panels of experts and their coordinators enhance their work?



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Question 5

How can the processes for listing, delisting, and exemptions be improved to make them more effective while protecting the due process rights of designated individuals, companies and entities?



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Question 6

Are descriptions of sanctions measures, notifications and implementation obligations adequately explained and communicated by the sanctions committees, and if not, what enhancements would you like to be made?



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Question 7

In what respect should UN sanctions better support the protection of the human rights of the general populations, as well as women, girls and children in conflict regions?



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